DAVIS EXECUTIVE
WEALTH MANAGEMENT GROUP
at Steward Partners

FINANCIAL PLAN PROFILE SHEET

Date:

NAME:			NAME:		
MALE / FEMALE	DOB:		MALE / FEMALE	DOB:	
ADDRESS:					
MARITAL STATUS	MARRIED		SINGLE	WIDOW(ER)	
	DIVORCED		SEPARATED		
STATE OF PRIMARY RESIDENCE:					

CONTACT INFORMATION			
HOME PHONE:			
WORK PHONE:		WORK PHONE:	
CELL PHONE:		CELL PHONE:	
EMAIL:		EMAIL:	

CHILDREN, GRANDCHILDREN, DEPENDENTS				
NAME:		MALE / FEMALE	DOB:	
RELATIONSHIP:				
NAME:		MALE / FEMALE	DOB:	
RELATIONSHIP:				
NAME:		MALE / FEMALE	DOB:	
RELATIONSHIP:				
NAME:		MALE / FEMALE	DOB:	
RELATIONSHIP:				

EMERGENCY CONTACT (OR CIRCLE ABOVE)			
NAME:		MALE / FEMALE	
RELATIONSHIP:		PHONE:	

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EXECUTOR OF YOUR ESTATE			
NAME:		MALE / FEMALE	
RELATIONSHIP:		PHONE:	

ATTORNEY / ESTATE PLANNER			
NAME:		PHONE:	
		SATISFIED / DISSATISFIED	

CPA / ACCOUNTANT			
NAME:		PHONE:	
		SATISFIED / DISSATISFIED	

OTHER ADVISOR(S)			
NAME:		PHONE:	
		SATISFIED / DISSATISFIED	

EMPLOYMENT STATUS			
RETIRED	EMPLOYED	RETIRED	EMPLOYED
HOMEMAKER	BUSINESS OWNER	HOMEMAKER	BUSINESS OWNER
NOT CURRENTLY EMPLOYED		NOT CURRENTLY EMPLOYED	
EMPLOYER:		EMPLOYER	
OCCUPATION:		OCCUPATION	
EMPLOYMENT INCOME:		EMPLOYMENT INCOME:	
OTHER INCOME:		OTHER INCOME:	

REAL ESTATE

	VALUE	SELL?	IF SO, WHEN?	TITLING
PRIMARY:	$	Y / N		
SECONDARY:	$	Y / N		
LAND / INVESTMENT:	$	Y / N		
COLLECTIBLES:	$	Y / N		
BUSINESS:	$	Y / N		
BUSINESS LAND:	$	Y / N		
OTHER:	$	Y / N		

LIABILITIES

	BALANCE	FIXED OR VARIABLE?	TERM	RATE	MONTHLY PAYMENT
PRIMARY MORTGAGE:	$			%	$
SECOND MORTGAGE:	$			%	$
OTHER MORTGAGE:	$			%	$
HOME EQUITY LOANS:	$			%	$
CREDIT CARD:	$			%	$
AUTO LOAN:	$			%	$
OTHER:	$			%	$

INCOME

	MONTHLY AMOUNT	AGE TO START	# OF YEARS WILL RECEIVE	SURVIVOR BENEFIT
RENTAL INCOME (NET):	$			%
SOCIAL SECURITY:	$			%
SOCIAL SECURITY:	$			%
PENSION:	$			%
ANNUITY:	$			%
OTHER:	$			%

INVESTMENT ACCOUNTS			
	ACCOUNT VALUE	ALLOCATION / INVESTMENTS	ANNUAL SAVINGS
PERSONAL:	$		$
JOINT:	$		$
TRUST:	$		$
CHECKING:	$		$
SAVINGS:	$		$
IRAS:	$		$
ROTH IRAS:	$		$
ANNUITIES:	$		$
EDUCATION ACCOUNTS (529, UGMA, ETC.):	$		$
STOCK CERTIFICATES:	$		$
DRIP PROGRAMS:	$		$
OTHER:	$		$
OTHER:	$		$
OTHER:	$		$

RETIREMENT PLANS						
	ACCOUNT VALUE	ALLOCATION / INVESTMENTS	ANNUAL CONTRIBUTION	EMPLOYER MATCH		
CURRENT 401(K):	$		%		% UP TO %	
CURRENT 403(B):	$		%		% UP TO %	
INACTIVE 401(K)/403(B):	$					
INACTIVE 401(K)/403(B):	$					
SEP/IRA:	$					
DEFERRED COMP PLAN:	$					
OTHER:	$					

STOCK OPTION PLANS

COMPANY (STOCK)	OWNER	CURRENT MKT. PRICE	TYPE: ISO OR NQO	GRANTS	VESTING SCHEDULE
		$			
		$			

INVESTMENT EXPERIENCE

LOW RISK	SOME RISK	MODERATE RISK	MODERATELY HIGH RISK	HIGH RISK
◯	◯	◯	◯	◯
EXPLAIN:				

INSURANCE POLICIES

	INSURED	TYPE OF POLICY	DEATH BENEFIT	CASH VALUE	ANNUAL PREMIUM
LIFE INSURANCE:			$	$	$
LIFE INSURANCE:			$	$	$
LONG TERM CARE:			$	$	$
OTHER:			$	$	$
OTHER:			$	$	$

ESTATE PLANNING

DO YOU HAVE WILLS?:	◯ YES / NO ◯	DO YOU HAVE A HEALTH PROXY?:	◯ YES / NO ◯
WHEN WAS THE LAST TIME YOU UPDATED YOUR WILLS OR ESTATE PLAN?:			

FINANCIAL GOALS

RETIREMENT	AGE TO RETIRE:		SPOUSE:	
AFTER-TAX INCOME NEEDED IN RETIREMENT:				

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OTHER GOALS	START YEAR	ANNUAL COST	RECURRING EVERY (X) YEARS
BUY CARS:		$	
TRAVEL:		$	
NEW HOME:		$	
GIFT OR DONATION:		$	
EDUCATION FUNDING:		$	
WEDDING OR CELEBRATION:		$	
PROVIDE FOR SOMEONE'S CARE:		$	
OTHER:		$	

PRIMARY FINANCIAL CONCERNS

- ☐ ORGANIZING YOUR FINANCIAL LIFE
- ☐ PROTECTING YOUR WEALTH
- ☐ MINIMIZING TAXES
- ☐ PAYING FOR COLLEGE
- ☐ NOT OUTLIVING YOUR MONEY
- ☐ TRANSFERRING WEALTH TO YOUR HEIRS
- ☐ HAVING SUFFICIENT INCOME IN RETIREMENT

OTHER:	
OTHER:	

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1) What has been your experience, if any, in working with a financial advisor?
2) How comfortable are you with alternative assets such as hedge funds, private equity, venture capital, or other non-liquid assets?
3) Are you familiar with socially responsible (also known as ESG) investing? Would you like to consider that for a portion or all of your portfolio?
4) Besides your money, what else is important to you?
5) What are you looking to accomplish from establishing a relationship with the Davis Executive Services Group?
6) What regarding your investments / finances / retirement concerns you most?
7) Does your family make financial decisions together, or is there a decision-maker in the household that makes most of these decisions?

TIMOTHY DAVIS, CFP®
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AND WEALTH MANAGER
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